

OCT Course List of Hong Kong and Singapore

Hong Kong courses

| Course Details | Type* | Course Details | Type* |
|--|-------|---|-------|
| Anti-Money Laundering / Counter Terrorism Financing in Hong Kong Language: English, Chinese Suitable for: All Licence Types CPT hour(s): 1 Hour Cost: USD 50 | R,C | AML & CFT for Trust and Company Service Providers Language: English Suitable for: All Licence Types CPT hour(s): 1 Hour Cost: USD 50 | R,C |
| Anti-Money Laundering, Countering the Financing of Terrorism (AML/CFT) and the Cayman Island Regulatory Regime Language: English Suitable for: All Licence Types CPT hour(s): 1 Hour Cost: USD 75 | R,C | Introduction to Anti-Money Laundering and Countering Terrorist Financing Language: English, Chinese Suitable for: All Licence Types CPT hour(s): 1 Hour Cost: USD 50 | R,C |
| Risk Based Approach to Anti-Money Laundering and Counter-Terrorism Financing Language: English Suitable for: All Licence Types CPT hour(s): 0.5 Hour Cost: USD 30 | R,C | Asset Management Regulations - Refresher and Recent Developments Language: English Suitable for: All Licence Types CPT hour(s): 0.5 Hour Cost: USD 30 | R,C |
| General Introduction to Cyber Resilience Hong Kong Language: English Suitable for: All Licence Types CPT hour(s): 1 Hour Cost: USD 50 | R,C | Introduction to Responsible Officer and Manager-In-Charge Regime Language: English, Chinese Suitable for: All Licence Types CPT hour(s): 1 Hour Cost: USD 50 | R,C |
| Regulation and Compliance – General Introduction for SFC Licensees Hong Kong Language: English, Chinese Suitable for: All Licence Types CPT hour(s): 1 Hour Cost: USD 50 | R,C | Investment Sales and Suitability in Hong Kong Language: English Suitable for: Type 1, 2, 4, 5 and 9 CPT hour(s): 1 Hour Cost: USD 50 | R,C,E |

*Type - R: Regulation C: Compliance E: Ethics

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| Market Abuse and Insider Dealing in Hong Kong Language: English Suitable for: All Licence Types CPT hour(s): 1 Hour Cost: USD 50 | R,C,E | Personal Data Protection in Hong Kong Language: English Suitable for: All Licence Types CPT hour(s): 1 Hour Cost: USD 50 | R,C,E |
| Corruption and Bribery Prevention for Bankers in Hong Kong Language: English, Chinese Suitable for: All Licence Types CPT hour(s): 1 Hour Cost: USD 50 | C,E | Corruption and Bribery Prevention for SFC Licensed Persons in Hong Kong Language: English, Chinese Suitable for: All Licence Types CPT hour(s): 1 Hour Cost: USD 50 | C,E |
| Hiring Risk in Asia Language: English Suitable for: All Licence Types CPT hour(s): 1 Hour Cost: USD 50 | C,E | Anti-Discrimination and Harassment in Hong Kong Language: English Suitable for: All Licence Types CPT hour(s): 1 Hour Cost: USD 50 | E |
| Ethical Behavior for SFC Licenced Persons in Hong Kong Language: English, Chinese Suitable for: All Licence Types CPT hour(s): 0.5 Hour Cost: USD 30 | E | Ethical Behavior in Banking in Hong Kong Language: English, Chinese Suitable for: All Licence Types CPT hour(s): 0.5 Hour Cost: USD 30 | E |

*Type - R: Regulation C: Compliance E: Ethics

Information on Courses for Fund Managers in Singapore

ComplianceAsia has partnered with the Investment Management Association of Singapore (IMAS) to be the exclusive course provider for online training courses for fund management industry practitioners in Singapore. ComplianceAsia creates several training programmes on IMAS' online portal, iLearn, and upon completion, participants will be awarded the CPD hours to fulfil the MAS requirements.

The courses are recognised under the IBF-Financial Training Scheme (FTS) and are eligible for FTS claims subject to all eligibility criteria being met.

The current requirement of CPD for fund managers are 6 core CPD hours in Ethics or Rules & Regulations or both plus 3 hours supplementary CPD hours of relevant training courses.

iLearn can be accessed through the following link: <http://www.imas.org.sg/ilearn/> or can be found by searching for "IMAS iLearn" on Google or any search engine.

Singapore courses

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| <p>Introduction to Anti-Money Laundering and Counter-Terrorism Financing*</p> <p>Language: English Competency Level: Level 1 CPD hour(s): 1 Hour Cost: USD 50</p> | <p>Anti-Money Laundering and Counter-Terrorism Financing Singapore*</p> <p>Language: English Competency Level: Level 2 CPD hour(s): 1 Hour Cost: USD 50</p> |
| <p>Market Manipulation in Singapore*</p> <p>Language: English Competency Level: Level 1 CPD hour(s): 1 Hour Cost: USD 50</p> | <p>Insider Trading in Singapore*</p> <p>Language: English Competency Level: Level 1 CPD hour(s): 1 Hour Cost: USD 50</p> |
| <p>Personal Data Protection Singapore I*</p> <p>Language: English Competency Level: Level 1 CPD hour(s): 1 Hour Cost: USD 50</p> | <p>Personal Data Protection in Singapore II - Protection of Electronic Personal Data and Cybersecurity Control for Personal Data*</p> <p>Language: English Competency Level: Level 1 CPD hour(s): 0.5 Hour Cost: USD 30</p> |
| <p>Strengthening Cyber Resilience Singapore*</p> <p>Language: English Competency Level: Level 1 CPD hour(s): 0.5 Hour Cost: USD 30</p> | <p>Guidelines on Individual Accountability and Conduct Singapore*</p> <p>Language: English Competency Level: Level 1 CPD hour(s): 1.5 Hour Cost: USD 75</p> |

* IBF Accredited

Non-English Courses

Our team of compliance experts are fluent in French, Russian, Korean and Bahasa. Courses can be translated into languages at your preference. Contact oct@complianceasia.com for more information.

我们的合规专家能操流利多国语言，包括法语、俄语、韩语和印尼语。我们可以根据你的偏好把课程翻译成各种语言。请联系 oct@complianceasia.com 了解更多信息。

私たちのコンプライアンス専門家チームは、フランス語、ロシア語、韓国語、バハサ語が堪能です。それぞれのコースはお好みの言語に翻訳可能です。詳細については、oct@complianceasia.com にお問い合わせください。

Below non-English courses are now available.

Hong Kong courses 香港培训课程

| 课程详情 | 类型* | 课程详情 | 类型* |
|--|-----|--|-----|
| AML & CFT 通用课程 语言: 中文 适用: 所有牌照类型 CPT 时数: 1 小时 价格: 五十美元 | R,C | AML & CFT 香港培训课程 语言: 中文 适用: 所有牌照类型 CPT 时数: 1 小时 价格: 五十美元 | R,C |
| 监管与合规 - 香港证监会 (SFC) 持牌人通用课程 语言: 中文 适用: 所有牌照类型 CPT 时数: 1 小时 价格: 五十美元 | R,C | 负责主任和核心职能主管(MIC)制度入门 语言: 中文 适用: 所有牌照类型 CPT 时数: 1 小时 价格: 五十美元 | R,C |
| 防止贪污贿赂培训课程 —— 适用于香港证监会持牌人 语言: 中文 适用: 所有牌照类型 CPT 时数: 1 小时 价格: 五十美元 | C,E | 防止贪污贿赂培训课程 —— 适用于香港银行从业人员 语言: 中文 适用: 所有牌照类型 CPT 时数: 1 小时 价格: 五十美元 | C,E |
| 香港证监会 (SFC) 持牌人道德操守行为 语言: 中文 适用: 所有牌照类型 CPT 时数: 0.5 小时 价格: 三十美元 | E | 香港银行从业人员道德操守行为 语言: 中文 适用: 所有牌照类型 CPT 时数: 0.5 小时 价格: 三十美元 | E |

*类型 - R: 规则 C: 合规 E: 道德

Singapore courses 新加坡培训课程

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|---|---|
| AML & CFT 通用课程 (60mins)* 语言: 中文 CPT 小时: 1 小时 价格: 五十美元 | シンガポールのマネーロンダリング対策 (AML/CFT Singapore)* 言語: 日本語 長さ: 1 時間 価格: 50 米ドル |
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*Only courses in English are accredited for CPD hours by IBF.

*IBF 的 CPD 时间只认可以英语进行的课程。

About OCT

Our online compliance training (OCT) platform provides courses that are Asian focused, giving you a specialist view of the regulatory landscape and the compliance requirements in Asia. Most importantly, you will be entitled to CPT or CPD hours required by the SFC or MAS respectively*, upon successful completion on any of our online courses.

Our online training platform is flexible and cost-effective.

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